



Docket No.: E3879.0004/P004  
(PATENT)

8/20/02  
R4  
10/B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Edward R. Howorka, et al.

Application No.: 09/603,523

Group Art Unit: 2761

Filed: June 23, 2000

Examiner: Not Yet Assigned

For: ARCHITECTURE FOR ANONYMOUS  
TRADING SYSTEM

SECOND PRELIMINARY AMENDMENT

Box Fee Amendment  
Commissioner for Patents  
Washington, DC 20231

RECEIVED  
AUG 14 2002  
GROUP 3600

Dear Sir:

Prior to examination on the merits, please amend the above-identified U.S.  
patent application as follows:

In the Specification

Paragraph at page 4, line 21 to page 4, line 27:

6' A system embodying the invention is such that each broker node comprises means  
for generating a message notifying other broker nodes of the existence of a quote  
submitted by one broker node. This distribution mechanism ensures that all broker nodes  
have an up-to-date store of all quotes available in the system.

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502215  
09603523  
01 FC:103  
02 FC:102  
35.00 CH  
160.00 CH